

PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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		Application Number	10/812,418
TRANSMITTAL		Filing Date	March 30, 2004
FORM		First Named Inventor	Kerstin MOTHES
(to be used for all correspondence after initial	filing)	Art Unit	2891
		Examiner Name	B. Smith
Total Number of Pages in This Submission	8	Attorney Docket Number	543822005000

ENCLOSURES (Check all that apply)								
X Fee Transm	sittal Form	Drawing(s)		After Allowance Communication to TC				
Fee A	ttached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
Amendment	t/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)				
After F	Final	Petition to Convert to a Provisional Application		Proprietary Information				
Affida	vits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter				
Extension of	f Time Request	Terminal Disclaimer		X Other Enclosure(s) (please Identify below):				
Express Aba	andonment Request	Request for Refund		Form PTO SB/08a/b (1 pages) Copy of German Office Action (3 pages)				
x Information	Disclosure Statement	CD, Number of CD(s)		Return Receipt Postcard				
Certified Co Document(s	py of Priority 3)	Landscape Table on CD						
Reply to Mis		Remarks						
	to Missing Parts under R 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Name	MORRISON & FOERSTER LLP							
Signature	Signature Subject of Alusto # 43,636							
Printed name	Kevin R. Spivak							
Date	December 20, 2006			43,148				

PTO/SB/17 (01-06)
Approved for use through 7/31/2006. OMB 0651-0032/
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Under the Paperwork Reduc	tion Act of 1995,	no person are requir	ed to res	pond to a collection				control number.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Complete if Known				
FEE TRANSMITTAL						10/812,418		
For FY 2006				Filing Date March 30, 2004				
For	FY 2000	•		irst Named Inve		Kerstin MOTHE	:5	
<u> </u>			╌┞	Examiner Name B. Smith				
Applicant claims small	entity status. S	See 37 CFR 1.27	^	Art Unit		2891		
TOTAL AMOUNT OF PAY	MENT	(\$) 180.00	A	Attorney Docket N	10.	543822005000		
METHOD OF PAYMEN	T (check all the	nat apply)						
Check Credit C	Card N	Ioney Order	None	Other (p	lease ident	ify):		
X Deposit Account Depo	sit Account Numb	er: 03-1952 Depo	sit Accour	nt Name:	Mor	rison & Foerst	er LLP	
For the above-ident	ified deposit a	account, the Direc	tor is h	ereby authorized	d to: (chec	k all that apply)		
x Charge fee(s)	· ·			$\dot{\Box}$	=	licated below, ex	cept for tl	ne filing fee
		s) or underpayme	nt of	X Credit a	any overpa	ayments		
	37 CFR 1.16		unon (Elina or may l	ha aubia	ot to a guraba		
FEE CALCULATION (A			upon	ning or may i	be subje	ct to a surcha	rge.)	
1. BASIC FILING, SEARCE	•	G FEES	SEAF	RCH FEES	FYAMIN	IATION FEES		
		Small Entity	OL/ III	Small Entity	L70 (1411)	Small Entity		
Application Type	Fee (\$)		<u>ee (\$)</u>	Fee (\$)	Fee (\$)	Fee (\$)	Fees F	Paid (\$)
Utility	300		500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CLAIM FEES								Small Entity
Fee Description							Fee (\$)	<u>Fee (\$)</u>
Each claim over 20 (includ	-						50	25
Each independent claim ov	er 3 (includin	g Reissues)					200	100
Multiple dependent claims	Cl-:	(6)	Eas Dai	:4 (¢)	14.	diala Dananda.	360	180
		ee (\$)	Fee Pai	iu (\$)	· ·	ultiple Depender e (\$)	ee Paid (\$	3
HP = highest number of total cla	ims paid for, if gr	eater than 20.			<u>i e</u>	<u>a (a)</u>	ee Faid (4	2
Indep. Claims Extra	Claims F	ee (\$)	Fee Pai	id (\$)				
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HP = highest number of indepen		for, if greater than 3.						
3. APPLICATION SIZE FEE		d 100 ab a sta a C						
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50								
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
	xtra Sheets			litional 50 or fract	tion thereo	f Fee (\$)	Fee	Paid (\$)
- 100 = /50 (round up to a whole number) x =								
4. OTHER FEE(S) Fees Paid (\$)								
Non-English Specification, \$130 fee (no small entity discount)								
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00								
SUBMITTED BY								
Signature Milwa	el S. Ha	doles # 436	36 R	egistration No. attorney/Agent)	43,148	Telephone	(703) 76	0-7762
Name (Print/Type) Kevin R.						Date D	ecember	20, 2006



Patent Docket No. 543822005000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Kerstin MOTHES et al.

Serial No.: 10/812,418

Filing Date: March 30, 2004

For: METHOD FOR FABRICATING A

TRENCH ISOLATION STRUCTURE

Examiner: B. Smith

Group Art Unit: 2891

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the document listed on the attached Form PTO/SB/08a/b. The Examiner is requested to make this document of record.

The document listed on the attached Form PTO/SB/08a/b was cited in a German Office Action (copy attached) mailed on August 16, 2006, directed to a counterpart foreign application and has not been previously cited. US 6,387,764, which is also cited in the German Office Action, was previously cited by the examiner and is therefore not included herewith.

This Supplemental Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission.

12/21/2005 JADDG1 85350124 23(5±2 15012416

va-186608

5% FOSSOWS

158.92 35

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>543822005000</u>.

Dated: December 20, 2006

Respectfully submitted,

Kevin R. Spivak

Registration No.: 43,148 MORRISON & FOERSTER LLP

1650 Tysons Blvd, Suite 300

McLean, Virginia 22102

(703) 760-7762



Complete if Known Substitute for form 1449/PTO Application Number 10/812,418 March 30, 2004 INFORMATION DISCLOSURE Filing Date First Named Inventor Kerstin MOTHES STATEMENT BY APPLICANT 2891 Art Unit (Use as many sheets as necessary) Examiner Name B. Smith 543822005000 1 of 1 Attorney Docket Number Sheet

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number Number-Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1.	2002/0123206-A1	09-05-2002	HONG et al.			

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages		
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)		Applicant of Cited Document	or Relevant Figures Appear	T⁵	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

^{&#}x27;Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.